APPROVED TO Approved for use through 3/31/2007. OMB 0651-0021
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## ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 207,450 DESIGNATED/ELECTED OFFICE (DO/EO/US) TION-NG (If known-see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2004/013104 18 November 2004 (18.11.2004) 16 December 2003 (16.12.2003) TITLE OF INVENTION METHOD AND DEVICE FOR PRELOADING TAPERED ROLLER BEARINGS OF A ROLLING MILL ROLL APPLICANT(S) FOR DO/EO/US GUEMPEL, Markus and TAMMERT, Stefan Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). $\mathbf{X}$ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. X An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

## Items 11 to 20 below concern document(s) or information included:

[V]							
11. IXI	An Information	Disclosure	Statement	under 37	CFR 1.	97 and 1	. 98

12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

An English language translation of the annexes of the International Preliminary Examination Report under PCT

3. 🖾 A preliminary amendment.

10. X

14. An Application Data Sheet under 37 CFR 1.76.

Article 36 (35 U.S.C. 371(c)(5)).

A substitute specification.

A power of attorney and/or change of address letter.

7. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

8. A second copy of the published International Application under 35 U.S.C. 154(d)(4).

19. 🔲 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

## IAP15 Rec'd PCT/PTO 18 APR 2006

PTO-1390 (Rev. 07-2005)

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/EP2004/013104 207,450 20. Other items or information: The following fees have been submitted **CALCULATIONS** PTO USE ONLY Basic national fee (37 CFR 1.492(a))......\$300 21. X 300.00 22. X Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared 200.00 by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)......\$0 All other situations.....\$200 Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)......\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an 400.00 International Searching Authority.....\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB......\$400 All other situations.....\$500 900.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) 0.00 -86 14 - 100 = S /50 = x \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration \$ 0.00after the date of commencement of the national stage (37 CFR 1.492(h)). NUMBER EXTRA CLAIMS NUMBER FILED RATE \$ 9 \$ Total claims - 20 = x \$ 50 0.000 Independent claims 1 - 3 = 0 \$200 \$ 0.00MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$ \$360 0.00 **TOTAL OF ABOVE CALCULATIONS =** 900.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. 0.00900.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ 0.00 claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$ 900.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ 40.00 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 940.00 Amount to be \$ refunded: Amount to be \$ charged

19/576556 AP15 Rec'd PCT/PTO -18 APR 2006

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. a. X 940.00 A check in the amount of \$ \_ to cover the above fees is enclosed. Please charge my Deposit Account No. in the amount of \$ \_ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-0035 . A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. Refauder Finchuk SEND ALL CORRESPONDENCE TO: Alexander Zinchuk Abelman, Frayne and Schwab Alexander Zinchuk 666 Third Avenue NAME New York, NY 10017-5621 30,541 US REGISTRATION NUMBER

## UNITED STATES PATENT AND TRADEMARK OFFICE

**ANNEX U.S. 111** 

I, the below-named TRANSLATOR, HEREBY DECLARE THAT:

My name and post-office address are as stated below:

That I am knowledgeable in the English language and in the language in which the below-identified international application was filed, and that I believe the English translation of the international application No. PCT/EP2004/0/3104 is a true and complete translation of the above-identified international application as filed.

I further declare that all statements made herein on my own knowledge are true and that all statements made on the information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the *United States Code* and that such willful false statements may jeopardize the validity of the application or any registration resulting therefrom.

Full name of the translator:

ALEXANDER ZINCHUK

Signature of the translator:

ALEXANDER ZINCHUK

ALEXANDER ZINCHUK

Signature of the translator:

ALEXANDER ZINCHUK

ALEXANDER ZINCHUK

New York, NY 10021